

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

MARTIN TROTT AND CHRISTOPHER SMITH, AS
JOINT OFFICIAL LIQUIDATORS AND FOREIGN
REPRESENTATIVES OF PLATINUM PARTNERS
VALUE ARBITRAGE FUND L.P. (IN OFFICIAL
LIQUIDATION) and PLATINUM PARTNERS VALUE
ARBITRAGE FUND L.P. (IN OFFICIAL LIQUIDATION)

Plaintiff(s)

v.

Civil Action No.

PLATINUM MANAGEMENT (NY) LLC et al.

Defendant(s)

SUMMONS IN A CIVIL ACTION

To: (Defendant's name and address)

SEE ATTACHED RIDER

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

Warren E. Gluck
Holland & Knight LLP
31 West 52nd Street
New York, NY 10019

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

CLERK OF COURT

Date: 11/28/2018

/s/ P. Canales

Signature of Clerk or Deputy Clerk

Handwritten signature of P. Canales over the court seal.

Civil Action No. \_\_\_\_\_

**PROOF OF SERVICE**

*(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))*

This summons for *(name of individual and title, if any)* \_\_\_\_\_  
was received by me on *(date)* \_\_\_\_\_.

I personally served the summons on the individual at *(place)* \_\_\_\_\_  
\_\_\_\_\_ on *(date)* \_\_\_\_\_; or

I left the summons at the individual's residence or usual place of abode with *(name)* \_\_\_\_\_  
\_\_\_\_\_, a person of suitable age and discretion who resides there,  
on *(date)* \_\_\_\_\_, and mailed a copy to the individual's last known address; or

I served the summons on *(name of individual)* \_\_\_\_\_, who is  
designated by law to accept service of process on behalf of *(name of organization)* \_\_\_\_\_  
\_\_\_\_\_ on *(date)* \_\_\_\_\_; or

I returned the summons unexecuted because \_\_\_\_\_; or

Other *(specify)*:

My fees are \$ \_\_\_\_\_ for travel and \$ \_\_\_\_\_ for services, for a total of \$ \_\_\_\_\_ 0.00 \_\_\_\_\_.

I declare under penalty of perjury that this information is true.

Date: \_\_\_\_\_

\_\_\_\_\_  
*Server's signature*

\_\_\_\_\_  
*Printed name and title*

\_\_\_\_\_  
*Server's address*

Additional information regarding attempted service, etc:

**RIDER TO SUMMONS IN A CIVIL ACTION**

*Martin Trott and Christopher Smith, as Joint Official Liquidators and Foreign Representatives of Platinum Partners Value Arbitrage Fund L.P. (in Official Liquidation) and Platinum Partners Value Arbitrage Fund L.P. (in Official Liquidation) v. Platinum Management (NY) LLC et al.*

**Beechwood Trust No. 5**

c/o U.S. Trust Company of Delaware  
1300 Market Street  
Wilmington, DE 19801

**Beechwood Trust No. 6**

c/o U.S. Trust Company of Delaware  
1300 Market Street  
Wilmington, DE 19801

**Beechwood Trust No. 7**

c/o U.S. Trust Company of Delaware  
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Wilmington, DE 19801

**Beechwood Trust No. 8**

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Wilmington, DE 19801

**Beechwood Trust No. 9**

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Wilmington, DE 19801

**Beechwood Trust No. 10**

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**Beechwood Trust No. 11**

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**Beechwood Trust No. 12**

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**Beechwood Trust No. 14**

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**Beechwood Trust No. 20**

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**Beechwood Re Investments, LLC Series A**

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New York, NY 10019

c/o Corporation Service Company  
251 Little Falls Drive  
Wilmington, DE 19808

**Beechwood Re Investments, LLC Series B**

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c/o Corporation Service Company  
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Wilmington, DE 19808

**Beechwood Re Investments, LLC Series C**

1370 Avenue of the Americas, 32<sup>nd</sup> Floor  
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Wilmington, DE 19808

**Beechwood Re Investments, LLC Series D**

1370 Avenue of the Americas, 32<sup>nd</sup> Floor  
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