

**UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF NEW YORK**

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

PLATINUM MANAGEMENT (NY) LLC,  
PLATINUM CREDIT MANAGEMENT, L.P.,  
MARK NORDLICHT, DAVID LEVY, DANIEL  
SMALL, URI LANDESMAN, JOSEPH MANN,  
JOSEPH SANFILIPPO AND JEFFREY SHULSE,

Defendants.

Case No.: 1:16-cv-06848-DLI-VMS

ECF Case

**NOTICE OF APPEARANCE**

**TO THE CLERK OF THE COURT AND ALL PARTIES OF RECORD:**

**PLEASE TAKE NOTICE** that Tracy Lee Dayton, Esq., a member of this Court in good standing, respectfully enters her appearance as counsel for Defendant Daniel Small, in the above-captioned action.

Dated: July 6, 2017  
New York, New York

Respectfully Submitted,

/s/ Tracy Lee Dayton  
Tracy Lee Dayton  
**LEVINE LEE LLP**  
650 Fifth Avenue, 13<sup>th</sup> Floor  
New York, New York 10019  
Telephone: (212) 223-4400  
Facsimile: (212) 223-4425  
tdayton@levinelee.com

*Attorneys for Defendant Daniel Small*