

CIVIL CAUSE FOR ORDER TO SHOW CAUSE

Before: Dora L. Irizarry, Chief U.S.D.J. Date: July 7, 2017 Time in Court: 1:04

Docket Number: 16-CV-6848 SEC v. Platinum Management et al.

APPEARANCES

Received: Melanie Cyganowski Attorneys: Richard Haddad

Plaintiff: SEC Attorneys: Kevin McGrath and Neil Jacobson

Defendant: Platinum Management Attorneys: Daniel Koffman and William Burck

*Defendant Platinum Credit Management LP is listed as PRO SE on the docket*

Defendant: Mark Nordlicht Attorneys: Daniel Rickert Koffman and William Anthony Burck

Defendant: David Levy Attorneys: Michael S. Sommer and Morris Fodeman,

Defendant: Uri Landesman Attorneys: Gregory J. O'Connell and Peter J. Sluka, Jr.,

Defendant: Joseph Sanfilippo Attorney: Kevin J. O'Brien and Adam Pollock

Defendant: Joseph Mann Attorneys: Benjamin Fischer and Jonathan S. Sack

Defendant: Daniel Small Attorneys: Seth L. Levine, Tracy Dayton and David J. Lizmi

Defendant: Jeffrey Shulse Attorneys: F. Andino Reynal, David M. Rody, and Sonia Marquez

For interested parties: William Jenkins and John Piggins

For Intervenor (USAO/EDNY): AUSAs Alicyn Coley, Lauren Elbert, and Sarah Evans

Court Reporter: Nicole Canales

Courtroom Deputy: Christy Carosella

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Court notes some PHV motions were granted improvidently (details stated re deficiencies). The Cooley firm's appearance is terminated as Mr. Schwartz is no longer the appointed receiver; Ms. Cyganowski and Mr. Haddad shall file notices of appearance. SEC's position re proposed order appointing receiver addressed. Decision on receivership order is reserved; Court requires input from Ms. Cyganowski. No objections noted to Arabella settlement; proposed order will be signed and docketed though this does not remove Arabella from the case (details stated by Mr. Piggins. Status report from Ms. Cyganowski (recommendations re pending motions/requests and interested parties to be added to the docket) due by August 10, 2017.

Parties directed to Clerk of Court re creation of link on Court's website for reference by interested parties and members of the public.

Former receiver Schwartz's wind-down plan (which may not be final) will be provided to Ms. Cyganowski and counsel in unredacted form—if necessary, a redacted version may be e-filed. Discovery stayed per request of the U.S. Attorney's Office. Order to be docketed.