UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

IN RE PLATINUM-BEECHWOOD LITIGATION

Master Docket No. 1:18-cv-06658-JSR

MARTIN TROTT and CHRISTOPER SMITH, as Joint Official Liquidators and Foreign Representatives of PLATINUM PARTNERS VALUE ARBITRAGE FUND L.P. (in Official Liquidation) and PLATINUM PARTNERS VALUE ARBITRAGE FUND L.P. (in Official Liquidation),

Plaintiffs,

-V-

PLATINUM MANAGEMENT (NY) LLC, et al.,

Defendants.

Case No. 1:18-cv-10936-JSR

REPLY DECLARATION OF DONALD H. CHASE IN FURTHER SUPPORT OF THE HUBERFELD FAMILY FOUNDATION, INC.'S MOTION TO DISMISS THE SECOND AMENDED COMPLAINT

- I, Donald H. Chase, declare as follows:
- 1. I am a member of Morrison Cohen LLP, counsel for defendant Huberfeld Family Foundation, Inc. (the "Foundation") in the above-captioned consolidated action. Unless otherwise specified, I have personal knowledge of the facts set forth below.
- 2. I submit this declaration in further support of the Foundation's motion, pursuant to Fed. R. Civ. P. 12(b)(1) and (6), to dismiss the Second Amended Complaint in the action styled Martin Trott and Christopher Smith, as Joint Official Liquidators and Foreign Representatives of Platinum Partners Value Arbitrage Fund L.P. (in Official Liquidation) v. Platinum Management (NY) LLC, et al., Case No. 18-cv-10936 (JSR).

- 3. Attached hereto as Exhibit 1 is a true and correct copy of the so-ordered Stipulation, dated May 10, 2019, filed as Doc. No. 369 in the above-captioned action, in which Plaintiffs agreed to conditionally dismiss with prejudice their claims against certain of the Preferred Investors of the BEOF Funds related to those defendants' "alleged receipt of distributions in connection with their investment in the Black Elk Opportunity Funds." (Exhibit 1 at 2.)
- 4. Attached hereto as Exhibit 2 are true and correct excerpts from the Foundation's publicly-available Returns of Private Foundation Forms 990-PF for the years 2008, 2009, 2010, 2011, 2012, 2013, 2014, 2015, 2016, and 2017 (each, a "Tax Return").
- 5. On each Tax Return, Box 1a represents the amount of contributions made to the Foundation during the year, Box 12b represents the amount of net investment income earned by the Foundation during the year, and Box 25a represents the amount of charitable donations made by the Foundation during the year. The relevant entries for Boxes 1a, 12b, and 25da reflected in each of the Tax Returns in Exhibit 2 from 2008 to 2017 are copied and summarized below:

Year	Contributions to Foundation (Box 1a))	Net Investment Income (Box 12(b))	Charitable Donations (Box 25(a))
2008	\$4,272,000	\$4,599,495	\$3,227,564
2009	\$2,600,000	\$1,087,678	\$2,871,517
2010	\$2,000,000	\$1,272,440	\$2,989,626
2011	\$1,725,000	\$5,319,355	\$2,750,486
2012	\$2,233,333	\$1,386,949	\$2,559,267
2013	\$2,400,000	\$1,646,313	\$3,109,731
2014	\$3,567,500	\$2,344,321	\$1,475,876
2015	\$1,925,025	\$1,297,036	\$2,899,770
2016	\$0	\$2,365,664	\$1,069,361
2017	\$0	\$3,014,224	\$748,050
Total	\$20,722,858	\$24,333,475	\$23,701,248

I declare under penalty of perjury that the foregoing is true and correct.

Dated: May 23, 2019

Donald H. Chase

EXHIBIT 1

se 1:18-cv-10936-JSR Document 395-ase 1:18-cv-06658-JSR Document 369

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DOC #:

DATE FILED:

UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

In re

PLATINUM-BEECHWOOD LITIGATION.

Civil Action No. 18-cv-6658 (JSR)

Civil Action No. 18-cv-10936 (JSR)

MARTIN TROTT and CHRISTOPHER SMITH, as Joint Official Liquidators and Foreign Representatives of PLATINUM PARTNERS VALUE ARBITRAGE FUND L.P. (in Official Liquidation) and PLATINUM PARTNERS VALUE ARBITRAGE FUND L.P. (in Official Liquidation),

Plaintiffs,

-against-

PLATINUM MANAGEMENT (NY) LLC, et al.,

Defendants.

STIPULATION

WHEREAS, on March 29, 2019, Plaintiffs Martin Trott and Christopher Smith, as Joint Official Liquidators and Foreign Representatives of Platinum Partners Value Arbitrage Fund L.P. (in Official Liquidation) and for Platinum Partners Value Arbitrage Fund L.P. (in Official Liquidation) (collectively, "Plaintiffs") filed a Second Amended Complaint in the abovecaptioned actions ("Second Amended Complaint") (Dkt. 285) which alleges in the Fifteenth Count a claim for unjust enrichment against the Preferred Investors of the BEOF Funds arising from those defendants' alleged receipt of certain distributions in connection with the alleged Black Elk Scheme (as defined in the Second Amended Complaint) (collectively, the "BEOF Unjust Enrichment Defendants");

WHEREAS, for the purposes of this Stipulation, the BEOF Unjust Enrichment Defendants do not include Defendants Platinum F.I. Group, Twosons Corporation, Huberfeld Family Foundation and Huang Lai Tsu Hsia;

WHEREAS, in the action captioned In re Black Elk Energy Offshore Operations, LLC, No. 15-34287, pending in the United States Bankruptcy Court for the Southern District of Texas, Richard Schmidt has been appointed the Trustee of the Black Elk Litigation Trust (the "Black Elk Trustee");

WHEREAS, the Black Elk Trustee also has commenced or may commence adversary proceedings in which he has alleged or may allege unjust enrichment, fraudulent transfer and/or other claims against the BEOF Unjust Enrichment Defendants and/or additional parties that arise out of, *inter alia*, such defendants' alleged receipt of distributions in connection with their investment in the Black Elk Opportunity Funds (the "Overlapping Claims"):

WHEREAS, the Court previously dismissed the Plaintiffs' claims in this action against Estate of Jules Nordlicht, FCBA Trust, Morris Fuchs, Barbara Nordlicht, Aaron Parnes, Sarah Parnes, Shmuel Fuchs Foundation, Solomon Werdiger, Meadows Capital LLC, Leon Meyers, Rockwell Fulton Capital L.P. and Ditmas Park Capital L.P. for aiding and abetting breach of fiduciary duty (the Ninth Count) and aiding and abetting fraud (the Tenth Count);

WHEREAS, the Plaintiffs' claims in this action against David Gichtin and Ora Gichtin for aiding and abetting breach of fiduciary duty and aiding abetting fraud are similar to the

Plaintiffs' claims in this action for aiding and abetting breach of fiduciary duty and aiding and abetting fraud that were dismissed against the other BEOF Unjust Enrichment Defendants;

WHEREAS, the Plaintiffs and the Black Elk Trustee have entered into an agreement concerning the Overlapping Claims ("Agreement"), which Agreement provides, inter alia, that the Overlapping Claims against the BEOF Unjust Enrichment Defendants will be dismissed with prejudice in this action;

WHEREAS the Agreement further provides that Plaintiffs in this action will pursue claims against all defendants that are not Overlapping Claims against BEOF Unjust Enrichment Defendants, and does not impact any claims against Platinum F.I. Group, Twosons Corporation, Huberfeld Family Foundation and Huang Lai Tsu Hsia;

WHEREAS, the Agreement has been approved by the PPVA Liquidation Committee;

WHEREAS, the Plaintiffs have submitted the Agreement to the Grand Court of the

Cayman Islands (the "Cayman Court") for approval;

WHEREAS, upon approval by the Cayman Court, the Agreement will result in dismissal with prejudice of a number of defendants in this action, to wit, those defendants against whom only Overlapping Claims have been asserted or remain.

IT IS HEREBY STIPULATED AND AGREED, by and between the attorneys for the respective parties hereto, that all discovery and briefing obligations and deadlines between the to-be-dismissed BEOF Unjust Enrichment Defendants and Plaintiffs with respect to the

Overlapping Claims are hereby tolled pending approval of the Agreement by the Cayman Court; and

IT IS HEREBY FURTHER STIPULATED AND AGREED, that upon the approval of the Agreement by the Cayman Court, the Plaintiffs shall take such actions as may be necessary to dismiss this action with prejudice as against defendants Estate of Jules Nordlicht, FCBA Trust, Morris Fuchs, Barbara Nordlicht, Aaron Parnes, Sarah Parnes, Shmuel Fuchs Foundation, Solomon Werdiger, Ora Gichtin, David Gichtin, Meadows Capital LLC, Leon Meyers, Rockwell Fulton Capital, L.P., and Ditmas Park Capital, L.P.; and

IT IS HEREBY FURTHER STIPULATED AND AGREED that nothing in this Stipulation will have any effect on any claims that are not Overlapping Claims that Plaintiffs in this Action may otherwise have against any of the BEOF Unjust Enrichment Defendants, all rights with respect to which are hereby preserved and reserved and all defenses with respect to which are also hereby preserved and reserved; and

IT IS HEREBY FURTHER STIPULATED AND AGREED, that this Stipulation may be filed without further notice and, for the purposes of filing this Stipulation, this Stipulation may be executed in counterparts, which, when taken together, shall constitute the entire Agreement, and that signatures by facsimile and electronic mail should be considered by the Court the same as original signatures; and

IT IS HEREBY FURTHER STIPULATED AND AGREED, Plaintiffs reserve all other rights and remedies at law and equity with respect to the to-be-dismissed BEOF Unjust Enrichment Defendants, and the to-be-dismissed BEOF Unjust Enrichment Defendants reserve all rights, remedies and defenses at law and equity with respect to Plaintiffs (including dismissal of this action with prejudice), none of which rights, remedies and defenses are waived.

Dated: May 10, 2019 New York, New York

HOLLAND & KNIGHT LLP

Warren E. Gluck Esq. Richard A. Bixter Jr., Esq. 31 West 52nd Street New York, NY 10019

Telephone: (212) 513-3200

Email:

warren.gluck@hklaw.com richard.bixter@hklaw.com

Attorneys for Plaintiffs Martin Trott and Christopher Smith, as Joint Official Liquidators and Foreign Representatives of Platinum Partners Value Arbitrage Fund L.P. (in Official Liquidation), and for Platinum Partners Value Arbitrage Fund L.P. (in Official Liquidation)

LAW OFFICES OF KENNETH
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Attorneys for Morris Fuchs, Estate of Jules Nordlicht, Barbara Nordlicht, FCBA Trust, Aaron Parnes, Sarah Parnes, Shmuel Fuchs Foundation, Solomon Werdiger, David Gichtin and Ora Gichtin MINTZ, LEVIN, COHN, FERRIS, GLOVSKY & POPEO, P.C.

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New York, NY 10017 New York, NY 10016 Telephone: (212) 935-3000 Telephone: (212) 545-4600 Email: Idoherty@mintz.com Email: tepper@whafh.com Attorneys for Meadows Capital LLC Attorneys for Leon Meyers JAKUBOWITZ & CHUANG LLP Tovia Jakubowitz 3019 Avenue J Brooklyn, NY 1210 Telephone: (347) 542-8529 Bmail: tovia@jakubowitzchuang.com Attorneys for Rockwell Fulton Capital, L.P. and Ditmas Park Capital, L.P. HONORABLE JED S, RAKOFF

FREEMAN & HERZ LLP

Daniel Tepper

270 Madison Avenue

UNITED STATES DISTRICT JUDGE

EXHIBIT 2

Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

General Hast apply: Initial return Final return Amended datum Address change Name change Name of foundation Stabel.	Ē	ore	cale	ndar year 2008, or tax year beginning	iole to use a copy of this retur	, and e		requirements.	
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Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

OMB No 1545-0052

Treated as a Private Foundation Department of the Treasury Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements. Internal Revenue Service For calendar year 2009, or tax year beginning and ending Initial return Initial return of a former public charity Final return G Check all that apply: Amended return Address change Name change A Employer identification number Name of foundation Use the IRS lahel Otherwise, HUBERFELD FAMILY FOUNDATION INC 13-4042543 Number and street (or P O box number if mail is not delivered to street address) Room/suite print B Telephone number or type. 152 WEST 57TH STREET 212-571-0500 See Specific City or town, state, and ZIP code C If exemption application is pending, check here Instructions. NEW YORK, NY 10019 1. Foreign organizations, check here 2. Foreign organizations meeting the 85% test, check here and attach computation H Check type of organization: X Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation If private foundation status was terminated I Fair market value of all assets at end of year | J | Accounting method: | X | Cash Accrual under section 507(b)(1)(A), check here (from Part II, col. (c), line 16) Other (specify) If the foundation is in a 60-month termination 34239324 . (Part I, column (d) must be on cash basis.) ▶\$ under section 507(b)(1)(B), check here Part I | Analysis of Revenue and Expenses (a) Revenue and (b) Net investment (c) Adjusted net (d) Disbursements (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) charitable purpos (cash basis only) expenses per books income income 2600000 N/A Contributions, gifts, grants, etc., received Check I dithe foundation is not required to attach Sch B Interest on savings and temporary cash investments 203572 203572 STATEMENT 882788 882788. STATEMENT 4 Dividends and interest from securities 5a Gross rents b Net rental income or (loss) -260237 62 Net gain or (loss) from sale of assets not on line 10 SCANNED AUG I 1 20 817068. 986 0 Capital gain net income (from Part IV, line 2) AUG 0 9 856 8 Net short-term capital gain Ø Income modifications b Less Cost of goods sold c Gross profit or (loss) 1318 STATEMENT 1318 11 Other income 1087678 3427441 12 Total. Add lines 1 through 11 0. 0 0 13 Compensation of officers, directors, trustees, etc 14 Other employee salaries and wages 15 Pension plans, employee benefits Expenses 16a Legal fees 0. 3465 0 STMT 4 **b** Accounting fees c Other professional fees and Administrative 17 Interest 0. 11788 0. 18 Taxes STMT 5 19 Depreciation and depletion 20 Occupancy 21 Travel, conferences, and meetings 22 Printing and publications 0. STMT 6 26424 0 Operating 23 Other expenses 24 Total operating and administrative 41677 0 expenses Add lines 13 through 23 2871517. 2871517 25 Contributions, gifts, grants paid 26 Total expenses and disbursements. 0 2871517. 2913194 Add lines 24 and 25 27 Subtract line 26 from line 12: 514247 8 Excess of revenue over expenses and disbursements

923501 02-02-10 LHA For Privacy Act and Paperwork Reduction Act Notice, see the instructions.

Form 990-PF (2009)

N/A

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b Net investment income (if negative, enter -0-)

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Form 990-PF

Department of the Treasury

Internal Revenue Service

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2010

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		total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 1) the instructions)		(a) Revenue and expenses per books	(b) N	let investment income	(c) Adjusted net income	for charitable purposes (cash basis only)
	1	Contributions, gifts, grants, etc , recei	wed (attach schedule)	2,000,000				
<u>a</u>	2	Check F if the foundation is not	required to attach Sch B					
	3	Interest on savings and tempo	rary cash investments	122,917		122,917		
	4	Dividends and interest from se	curities	962,266		962,266		
	5a	Gross rents						
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₽	6a	Net gain or (loss) from sale of a	91,548					
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	12	Total. Add lines 1 through 11		3,272,440		1,272,440		
_	13	Compensation of officers, direc		3,272,440		1,272,440		
	14	Other employee salaries and w						
ses	15	Pension plans, employee benef						
Ψ	16a	Legal fees (attach schedule) .			_			
Expenses	ь	Accounting fees (attach sched	ule)	3,856		0		
	С	Other professional fees (attach	nschedule)					
atı	17	Interest						
and Administrative	18	Taxes (attach schedule) (see page 14	of the instructions)	12,724		0		0
นเม	19	Depreciation (attach schedule)	and depletion					
åd.	20	Occupancy						
7	21	Travel, conferences, and meeti	ngs					
20	22	Printing and publications						
Di l	23	Other expenses (attach schedu	اه) والد	1,654		0		0
Operating	24	Total operating and administrat						
ᆲ		Add lines 13 through 23		18,234		0		0
٦	25	Contributions, gifts, grants paid	i	2,989,626				2,989,626
	26	Total expenses and disbursements	s. Add lines 24 and 25	3,007,860		0		2,989,626
	27	Subtract line 26 from line 12						
	а	Excess of revenue over expense		264,580				
	Ь	Net investment income (if nega				1,272,440		
	С	Adjusted net income (if negative	e, enter - 0 -)					

efile GRAPHIC prints DO NOT PROOFESSA AS Filed Patament 395-2 Filed 05/23/19LNP 2349 5199006012

Form 990-PF

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

2011

OMB No 1545-0052

Department of the Treasury Internal Revenue Service

Fo	r cale	endar year 2011, or tax	year beginning 01	-01-2011 , and	d end	ding 12-31-	2011	
G C	hecka	all that apply Initial ret		n of a former public ch		Final re	turn	
		oundation	return r Address cin	ange i Name	Clian		entification numb	er
н	JBEKFE	ELD FAMILY FOUNDATION INC				13-4042543		
Nun	nber an	d street (or P O box number if mail is	not delivered to street address	ss) Room/suite			ımber (see page 10 o	of the instructions)
15	2 WEST	T 57TH STREET	, not belivered to street addre	ss) Room, suite		(212) 571-0500		,
-		1.						
		n, state, and ZIP code , NY 10019				1	application is pendin	
						D 1. Foreign of	rganizations, check h	ere 🕨
HC	heck t	ype of organization Section	on 501 (c)(3) exempt priv	ate foundation		2. Foreign o	rganizations meeting e and attach comput	the 85% test,
		n 4947(a)(1) nonexempt charit				ŀ	and attach comput andation status was t	
		ket value of all assets at end from Part II, col. (c),	JAccounting method Cother (specify)	Cash Acci	rual		n 507(b)(1)(A), chec	
	The other (specify)			_ st be on cash basis.)		F If the founda	ation is in a 60-mont n 507(b)(1)(B), chec	h termination
Pa	rt I	Analysis of Revenue total of amounts in columns (b), necessarily equal the amounts in the instructions)	and Expenses (The (c), and (d) may not	(a) Revenue and		Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
	1	Contributions, gifts, grants, etc , rec	eived (attach schedule)	1,725,000				
	2	Check F if the foundation is no	t required to attach Sch B					
	3	Interest on savings and temp	orary cash investments	112,620		112,620		
	4	Dividends and interest from s	ecurities	728,936		728,936		
	5a	Gross rents						
	Ь	Net rental income or (loss)						
Revenue	6a	Net gain or (loss) from sale of	assets not on line 10	4,477,799				
	Ь	Gross sales price for all assets on line						
ě	7	Capital gain net income (from			4,477,799			
<u></u>	8	Net short-term capital gain .						
	9	Income modifications						
	10a	Gross sales less returns and allowand	-					
	Ь	Less Cost of goods sold .						
	C	Gross profit or (loss) (attach	• 1121 10					
	11	Other income (attach schedul						
	12	Total. Add lines 1 through 11 Compensation of officers, dire		7,044,355		5,319,355		
	14	Other employee salaries and		U		0		0
Ş.	15	Pension plans, employee bene						
ES.	16a	Legal fees (attach schedule).						
Expenses		Accounting fees (attach sche		14,801		0		0
	1	Other professional fees (attac		20				
3	17	Interest						-
Administrative	18	Taxes (attach schedule) (see page 1		106,387		0		0
=	19	Depreciation (attach schedule	,					
를	20	Occupancy						
4	21	Travel, conferences, and meet						
and	22	Printing and publications						
돧	23	Other expenses (attach sched		2,391		0		0
Operating	24	Total operating and administra	ative expenses.					
图		Add lines 13 through 23		123,579		0		0
>	25	Contributions, gifts, grants pa		2,750,486				2,744,486
	26	Total expenses and disbursemen	ts. Add lines 24 and 25	2,874,065		0		2,744,486
	27	Subtract line 26 from line 12						
	а	Excess of revenue over expens	ses and disbursements	4,170,290				
	b	Net investment income (if neg	ative, enter -0 -)			5,319,355		
- 1	c	Adjusted net income (if negati	ve.enter-0-) .					

efile GRAPHIC print - DO NOT PROCESS AS Filed Datament 305-2 Filed 05/23/10LN 193491211007523

Form 990-PF

Department of the Treasury

Internal Revenue Service

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

2012

Open to Public Inspection

OMB No 1545-0052

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

			year beginning of-	01-2012 , an	u en	uing 12-31-	2012	
		undation ELD FAMILY FOUNDATION INC				A Employer ic	lentification numbe	er
						13-4042543		
Nun	nber an	d street (or P O box number if mail is T 57TH STREET	not delivered to street address	s) Room/suite		B Telephone ni	ımber (see ınstruction	ns)
•	Z WES	1 37111 BIRCET				(212) 571-050	0	
City NEW	or tow / YORK	n, state, and ZIP code , NY 10019				C If exemption	application is pendin	g, check here 🕨 🎵
	hock	all that apply Initial return	Francisco		_			
u C	HECK	Final return Address cha	Amended retu		rity	2. Foreign o	rganizations, check horizations meeting	the 85% test,
H.C.I	neck t	ype of organization Section		ata faundation		спеск пе	e and attach comput	ation
Γs	ection	1 4947(a)(1) nonexempt charita	able trust Cother tax	ate loungation able private foundation	n .			
		ket value of all assets at end	J Accounting method				undation status was t	
of	year (from Part II, col. (c),	Other (specify)				n 507(b)(1)(A), chec	
_		\$ 39,623,466	(Part I, column (d) mus	t be on cash basis.)			ation is in a 60-montl n 507(b)(1)(B), chec	
Pa	rt 1	Analysis of Revenue a total of amounts in columns (b), (necessarily equal the amounts in o instructions)	c), and (d) may not	(a) Revenue and expenses per books	(b) I	Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
	1	Contributions, gifts, grants, etc., rece	eived (attach schedule)	2,233,333				
	2	Check F if the foundation is not	f the foundation is not required to attach Sch B					
	3	Interest on savings and tempo	orary cash investments	96,242		96,242		
	4	Dividends and interest from se	ecurities	1,189,423		1,189,423		
	5a	Gross rents						
	b	Net rental income or (loss)						
Φ.	6a	Net gain or (loss) from sale of	101,284					
Revenue	b	Gross sales price for all assets on line						
	7	Capital gain net income (from			101,284			
-	8	Net short-term capital gain .						
	9	Income modifications						
	10a	Gross sales less returns and allowance						
	Ь	Less Cost of goods sold						
	С	Gross profit or (loss) (attach s						
	11	Other income (attach schedule						
	12	Total. Add lines 1 through 11		3,620,282		1,386,949		
	13	Compensation of officers, direct		0		0		C
φ	14 15	Other employee salaries and w Pension plans, employee bene						
enses	16a	Legal fees (attach schedule).	1700					
<u>α</u> Ι			1-11	94 F02				
EX	b c	Accounting fees (attach sched Other professional fees (attach		24,593		0		C
Ě	17	Interest	n schedule)					
<u> </u>	18			95 29.804		0		
€	19	Taxes (attach schedule) (see instruction Depreciation (attach schedule)	·	29,804		0		0
<u> </u>	20							
<u> </u>	21	Occupancy						
<u> </u>	22	Printing and publications			_			
<u>.</u>	23	Other expenses (attach sched		% 96,742		0		0
	24	Total operating and administra		70,742		0		0
Operating and Administrative	24	Add lines 13 through 23	-	151,139		٥		
5	25	Contributions, gifts, grants pair		2,559,267		U		3 550 367
	26	Total expenses and disbursement		2,359,267		0		2,559,267
	27	Subtract line 26 from line 12	. AUU III ES 24 GIU 23	2,710,400		· ·		2,559,267
	a	Excess of revenue over expens	es and disbursements	909,876				
	ь	Net investment income (if nega		225,870		1,386,949		
	c	Adjusted net income (if negative				-,-50,5.5		

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Department of the Treasury

Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation ▶ Do not enter Social Security numbers on this form as it may be made public. By law, the

IRS cannot redact the information on the form.

► Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

							Thispection
For	cale	endar year 2013, or tax year beginning 01-	01-2013 , and	d ending 12	-31-	2013	
		undation LD FAMILY FOUNDATION INC		A Emplo	yer lo	lentification numb	er
110	DEKIL	ED PAPILE, POORDATION INC		13-4042	543		
		d street (or P O box number if mail is not delivered to street address	S) Room/suite	B Teleph	one n	umber (see instructio	ns)
15	2 WEST	57TH STREET		(212) 57	1-050	0	
		n, state or province, country, and ZIP or foreign postal code		C If exe	mptior	application is pendin	ıg, check here ►
NEW	YORK,	NY 10019					
GC	heck	all that apply Initial return Initial return o	f a former public chai	rity D 1 . For	eion o	rganizations, check h	ere ь Г
		Final return Amended retu	rn		-	organizations meeting	
		Address change Name change				re and attach comput undation status was t	
HCI	neck t	ype of organization	ate foundation	undo		n 507(b)(1)(A), chec	
		ket value of all assets at end J Accounting method			found	ation is in a 60-mont	h tomustian
of	year (from Part II, col. (c),				on 507(b)(1)(B), chec	
lin	e 16)	\$ 43,288,785 (Part I, column (d) must	t be on cash basis.)				
Pa	rt I	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not	(a) Revenue and	(b) Net investm	ent	(c) Adjusted net	(d) Disbursements for charitable
		necessanly equal the amounts in column (a) (see instructions)	expenses per books	income		income	purposes (cash basis only)
	1	Contributions, gifts, grants, etc , received (attach	2,400,000				(sacr. sacro only)
		schedule)					
	2	Check ► ☐ If the foundation is not required to attach					
	3	Sch B Interest on savings and temporary cash investments	56,643	5	6,643		
	4	Dividends and interest from securities	1,249,885		9,885		
	5a	Gross rents	,,,,,,,	-,-	.,		
	ь	Net rental income or (loss)					
d)	6a	Net gain or (loss) from sale of assets not on line 10	339,785				
Revenue	ь	Gross sales price for all assets on line 6a					
ě		1,000,000					
œ	7	Capital gain net income (from Part IV, line 2) .		3:	39,785		
	8	Net short-term capital gain					
	9 10a	Income modifications					
	IUa	Gross sales less returns and allowances					
	ь	Less Cost of goods sold					
	С	Gross profit or (loss) (attach schedule)					
	11	Other income (attach schedule)					
	12	Total. Add lines 1 through 11	4,046,313	1,64	6,313		
	13	Compensation of officers, directors, trustees, etc	0		0		0
. 0	14	Other employee salaries and wages					
Š	15	Pension plans, employee benefits			_		
ě	16a	Legal fees (attach schedule)	(S) 22.570				
Ä	b	Accounting fees (attach schedule)	33,579		0		0
ş	17	Other professional fees (attach schedule)	-		_		
rati	18		% 32,939		0		0
¥ St	19	Taxes (attach schedule) (see instructions) Depreciation (attach schedule) and depletion	32,505				
Ħ	20	Occupancy			_		
Αď	21	Travel, conferences, and meetings					
Вď	22	Printing and publications					
e ⊖	23	Other expenses (attach schedule)	% 1,648		0		0
Operating and Administrative Expenses	24	Total operating and administrative expenses.					
ě		Add lines 13 through 23	68,166		0		0
ŏ	25	Contributions, gifts, grants paid	3,109,731				3,109,731
	26	Total expenses and disbursements. Add lines 24 and	3,177,897		0		3,109,731
_	27	Subtract line 26 from line 12					
	a	Excess of revenue over expenses and disbursements	868,416				

Net investment income (if negative, enter -0-) Adjusted net income (if negative, enter -0-)

1,646,313

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Form 990-PF

Department of the Treasury

Internal Revenue Service

Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.
 Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

2014

Open to Public

Inspection For calendar year 2014, or tax year beginning 01-01-2014 , and ending 12-31-2014 Name of foundation HUBERFELD FAMILY FOUNDATION INC A Employer identification number 13-4042543 B Telephone number (see instructions) Number and street (or P O box number if mail is not delivered to street address) Room/suite 15 MANOR LANE (212) 571-0500 City or town, state or province, country, and ZIP or foreign postal code LAWRENCE, NY 11559 C If exemption application is pending, check here G Check all that apply Initial return Initial return of a former public charity D 1. Foreign organizations, check here ▶ □ Final return A mended return 2. Foreign organizations meeting the 85% Address change Name change test, check here and attach computation If private foundation status was terminated H Check type of organization Section 501(c)(3) exempt private foundation **▶** [under section 507(b)(1)(A), check here Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation J Accounting method Cash Accrual I Fair market value of all assets at end F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here of year (from Part II, col. (c), Other (specify) line 16) \$ 48,384,938 (Part I, column (d) must be on cash basis.) Part I Analysis of Revenue and Expenses (The (d) Disbursements (a) Revenue and (c) Adjusted net for chantable total of amounts in columns (b), (c), and (d) may not (b) Net investment expenses per purposes (cash basis only) necessanly equal the amounts in column (a) (see income income hooks 1 Contributions, gifts, grants, etc , received (attach schedule) 3,567,500 2 Check ► [if the foundation is not required to attach Interest on savings and temporary cash investments 62,349 62.349 3 Dividends and interest from securities. . . . 925.875 4 925,875 Gross rents Net rental income or (loss) 744.005 Revenue Net gain or (loss) from sale of assets not on line 10 6a Gross sales price for all assets on line 6a 744,005 7 Capital gain net income (from Part IV, line 2) 🖫 🖫 744 005 8 Net short-term capital gain 🚜 😘 9 Income modifications 10a Gross sales less returns and allowances Less Cost of goods sold . 🖫 🥡 Gross profit or (loss) (attach schedule) 11 Other income (attach schedule) 612,092 612,092 Total. Add lines 1 through 11 . . . 5,911,821 12 2.344.321 13 Compensation of officers, directors, trustees, etc. 60,000 18,000 42,000 14 Other employee salaries and wages . Pension plans, employee benefits . . . Operating and Administrative Expenses 15 16a Legal fees (attach schedule). . . 2.366 0 0 **b** Accounting fees (attach schedule). . . 42 32,403 16,202 0 c Other professional fees (attach schedule) 17 2 18 51,849 1,709 3.987 Taxes (attach schedule) (see instructions) 19 Depreciation (attach schedule) and depletion . . 20 21 Travel, conferences, and meetings . . 22 Printing and publications 23 Other expenses (attach schedule) 7,444 351 24 Total operating and administrative expenses. Add lines 13 through 23 154.062 36,658 46,338 Contributions, gifts, grants paid. 1,475,876 1,475,876 26 Total expenses and disbursements. Add lines 24 and 1,629,938 36,658 1,522,214 27 Subtract line 26 from line 12 Excess of revenue over expenses and disbursements 4,281,883 Net investment income (if negative, enter -0-) 2.307.663

Adjusted net income (if negative, enter -0-)

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Department of the Treasury Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.
 Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2015

Open to Public Inspection

Fo	r cale	endar year 2015, or tax	year beginning 01-0	01-2015	, and ending	12-31-2015	
		undation LD FAMILY FOUNDATION INC			A Employe	Identification num	per
					13-4042543		
Nur	nber and	street (or P O box number if mail is	not delivered to street address;	Room/suite	B Telephone	number (see instruction	ns)
1	5 MANOF	RLANE		I I I I I I I I I I I I I I I I I I I	(212) 571-0	500	
City	or town	n, state or province, country, and ZIP of NY 11559	r foreign postal code	.1	C If exempt	ion application is pend	ing, check here 🕨 🦵
_							
G C	heck a	Il that apply Initial return Final return	Initial return of a formal control in the control i	ormer public charity	D 1. Foreig	organizations, check	here 🕨 🦵
			ge Name change		2. Foreig	n organizations meetin	g the 85%
HC	heck ty	ype of organization ✓ Section 4947(a)(1) nonexempt charital	501(c)(3) exempt private	e foundation	E If private	foundation status was	terminated
		et value of all assets at end	JAccounting method				
of	year (f	from Part II, col. (c), *\$ 47,782,037	Other (specify)(Part I, column (d) must	be on cash basis.)			
Pa	REI	Analysis of Revenue a	and Fynenses				
		(The total of amounts in columns (necessarily equal the amounts in co instructions)	b), (c), and (d) may not	Revenue and expenses per (a) books	Net investmen (b) income	t Adjusted net	for charitable purposes
	1	Contributions, gifts, grants, e	tc , received (attach				
Rame of HUBER 15 MA City or to LAWREN G Check H Check Section Fart Fart 1 1 2 2 3 4 4 5 5 8 9 9 10 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6		schedule)		1,925,025			
	2	Check ► ┌ If the foundation	is not required to attach				
		Sch B					
	3	Interest on savings and temp		57,400	57,4	00	
	4	Dividends and interest from s	ecurities	1,239,636	1,239,6	36	
	5a						
d.	Ь	Net rental income or (loss)					
ž	1	Net gain or (loss) from sale o		-28,849			
Reve	Ь	Gross sales price for all asse 400,000	ts on line 6a				
	7	Capital gain net income (from	Part IV, line 2)			0	
	8	Net short-term capital gain .					
	9	Income modifications	11 A 2 A 3 B 3 B 4 B 6				
	10a	Gross sales less returns and					*
	ь	ailowances Less Cost of goods sold	95,000				**************************************
	٥	Gross profit or (loss) (attach		95,000			7
	1	Other income (attach schedu	CON CO AND DE TO	93,000			
			I	2200 241			
		Total.Add lines 1 through 11 Compensation of officers, dire		3,288,212 120,000		_	01.000
1		Other employee salaries and		120,000	36,0	00	84,000
مِي		Pension plans, employee bene	1				
35e	16a	Legal fees (attach schedule).					-
BANKER G Che H Che Sec IFair r of ye line	ь	Accounting fees (attach sche	***	65,320	21.7	73	21 773
	c	Other professional fees (attac	L				22,773
E Se	17	Interest	970	·			
t a	18	Taxes (attach schedule) (see	Instructions)	36,800	3,1	15	7,270
2	19	Depreciation (attach schedule					
Ë	20	Occupancy		-			
4	21	Travel, conferences, and meet	tings				
ลูม	22	Printing and publications	<u>.</u>				
<u> </u>	23	Other expenses (attach sched	dule)	4,237	2,3:	37	701
at	24	Total operating and administr	ative expenses.				
ğ		Add lines 13 through 23		226,357	63,22	25	113,744
ر	25	Contributions, gifts, grants pa	ıd [2,899,770			2,899,770
	26	Total expenses and disbursem 25	ents.Add lines 24 and	3,126,127	63.22	25	3,013.514
	27	Subtract line 26 from line 12					
	a	Excess of revenue over expens	L	162,085			
	Ь	Net investment income (if neg			1,233,81	1	
	C	Adjusted net income(if negative	ve.enter-0-)			mption application is pending, check here reign organizations, check here reign organizations meeting the 85% st, check here and attach computation rate foundation is in a 60-month termination section 507(b)(1)(A), check here for chartable purposes (d) (cash basis only) May be a section 507(b)(1)(B), check here check here check here check for chartable purposes (d) (cash basis only) May be a section 507(b)(1)(B), check here check check here check check here check check check here check check check here check check check here check check check here check check check check here check check check check here check check check check check check here check check check check here check check check check check check check check check here check check check check check here check check check check check here check chec	

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OMB No 1545-0052

2016

Return of Private Foundation

Department of the Treasury Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.
 Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

Open to Public Inspection

FOI	· cale	ndar year 2016, or tax year	beginning 01-01-2	016 , a	nd er	nding 12-31-	2016	
		oundation ELD FAMILY FOUNDATION INC				A Employer id	entification numbe	ır
-	ngo mano					13-4042543		
Nur	.5 MAN	od street (or P O box number if mail is no OR LANE	t delivered to street address) Room/suite		B Telephone nu	ımber (see ınstructio	ns)
Car	an Bay	at the same of the same of the same of	• or comment of the property of			(917) 364-240		
LAV	RENCE	n, state or province, country, and ZIP or f , NY 11559	foreign postal code			C If exemption	application is pendir	ng, check here
G C	heck a	Il that apply Initial return	Initial return of a	former public charity		D 1. Foreign or	ganizations, check h	ere . \Box
		☐ Final return	Amended return	1		2. Foreign o	rganizations meeting	the 85%
_		Address chang					undation status was	_
			01(c)(3) exempt private			under sectio	n 507(b)(1)(A), che	tk here
		n 4947(a)(1) nonexempt charitable ket value of all assets at end	J Accounting method					
of	year (from Part II, col (c),	Other (specify)	☑ Cash ☐ Accru	Jai		ation is in a 60-mont n 507(b)(1)(B), chec	
III	e 10)P	*\$ 36,834,494	(Part I, column (d) must	t be on cash basis)				
Pa	rt I	Analysis of Revenue and E	xpenses (The total	[, -	Т			(d) Disbursements
		of amounts in columns (b), (c), and (d		(a) Revenue and expenses per	(b)	Net investment income	(c) Adjusted net	for charitable
_		equal the amounts in column (a) (see		books		income.	meanie	(cash basis only)
	1	Contributions, gifts, grants, etc , r schedule)	received (attach					
	2	Check > 🗹 if the foundation is n	not required to attach					
	3	Sch B Interest on savings and temporary	v cash investments	04.75	_	0.1.750		
	4	Dividends and interest from securi		2,272,001	-	84,758		
	5a	Gross rents	ince in a second control of	2,272,001		2,272,001		
	ь	Net rental income or (loss)		<u> </u>				
Φ	6a	Net gain or (loss) from sale of asse	ets not on line 10	-248,649				-
em	ь	Gross sales price for all assets on						
Revenue	7	Capital gain net income (from Part			-			
	8	Net short-term capital gain			-	0		
	9	Income modifications			1			
	10a	Gross sales less returns and allowa	¥		1			
	ь	Less Cost of goods sold					-	
	c	Gross profit or (loss) (attach sched	dule)	160,800				
	11	Other income (attach schedule)		8,905		8,905		
	12	Total. Add lines 1 through 11 $$.		2,277,815		2,365,664		
	13	Compensation of officers, directors	s, trustees, etc	120,000		36,000		84,000
	14	Other employee salaries and wage						
ses	15	Pension plans, employee benefits						
ens	16a	Legal fees (attach schedule)						
le Expenses		Accounting fees (attach schedule)		10,213		3,404		3,404
Ve	C	Other professional fees (attach sch						
rati	17 18	Interest		00-1 124.00				
11 St	19	Taxes (attach schedule) (see instru Depreciation (attach schedule) and		134,087		3,162		7,379
Ē	20	Occupancy			_			
Ad	21	Travel, conferences, and meetings			-			
pu	22	Printing and publications						
e bi	23	Other expenses (attach schedule)		32,564	-	29,422		699
at III	24	Total operating and administra				23,122		033
ja		Add lines 13 through 23		296,864		71,988		95,482
ō	25	Contributions, gifts, grants paid		1,069,361				1,069,361
	26	Total expenses and disburseme	ents. Add lines 24 and					
_	27	Subtract line 26 from line 12		1,366,225		71,988		1,164,843
	27 a	Excess of revenue over expense	es and	911,590				
		disbursements		311,330				
	ь	Net investment income (if negat				2,293,676		
	C	Adjusted net income(if negative,	, enter-U-) 🗼 🔒		I			

e	file P	ublic Visual R	ender Obj	ectId: 20182250934	9100	107 - Submi:	ssio	n: 2018-09-0	7	TIM	N: 13-404254	3
		90-PF t of the Treasury venue Service	or S ▶ Do not	Return of F Section 4947(a)(1) T t enter social security nu www.frs.gov/Form990F	Priv rust	ate Found Treated as P	dat rivat	iON te Foundation	n oublic.	ОМ	2017 pen to Public Inspection	-
Fo	r cal	endar year 20	17, or tax yea	ar beginning 01-01-2	017	, a	nd e	nding 12-31-	-2017	-		-
Na	me of f	oundation ELD FAMILY FOUNDA						A Employer id		mber		_
Nu	mber a	nd street (or P.O. b	ox number if mail is	not delivered to street	Ignr	m/suite		13 1012313				_
ad	dress)	IOR LANE		0.720.0000000000000000	10000	10000000		B Telephone nu		ructio	ns)	
Cit	City or town, state or province, country, and ZIP or foreign postal code						-	(917) 364-240		_		_
LA	LAWRENCE, NY 11559							C If exemption	application is p	endir	ng, check here	7
G	heck a	all that apply:	☐ Initial return ☐ Final return ☐ Address cha	☐ Initial return of a ☐ Amended return nge ☐ Name change		er public charity		D 1. Foreign or 2. Foreign or test, chec	ganizations, ch rganizations me k here and atta	eeting	the 85%	֝֝֝֝֝֝֝֝֝֝֝֝֝֝֝֝֝֝֝֝
н	heck t	ype of organization		501(c)(3) exempt private	found	lation	_	E If private for	undation status	was	terminated	-1
				le trust Other taxab				undersection	n 507(b)(1)(A)	, chec	k here	_
I F	year	ket value of all as (from Part II, col	sets at end	J Accounting method: Other (specify) [(Part I, column (d) mus	(Cash Accru	ıal	F If the founda under section	ation is in a 60- in 507(b)(1)(B)	-mont , chec	h termination k here	כ
P	art I	of amounts in co		Expenses (The total (d) may not necessarily see instructions))	(a)	Revenue and expenses per books	(b)	Net investment Income	(c) Adjusted Income		(d) Disbursement for charitable purposes (cash basis on	9
Revenue	1 2 3 4 5a b 6a b 7 8 9 10a b c 11 12 13 14 15	chedule) Check	ings and tempora interest from sections. In each of as me or (loss) is) from sale of as the for all assets of income (from Pa capital gain ations is returns and allo oods sold (loss) (attach schedule) is 1 through 11	ssets not on line 10 In line 6a 1,255,000 In line 6a 1,255,000		56,513 2,254,965 702,746 3,014,224 100,000 40,000		56,513 2,254,965 702,746 3,014,224 50,000 20,000			50,00 5,00	_
	16a				93	4,402		0		-		0
cybells	ь			e)	95	23,592		11,796		-		0
n L	c		nal fees (attach s									
200	17	Interest	2000									-
AGRIBBISHARA	18 19 20	Depreciation (at	chedule) (see inst tach schedule) ar		***	85,475		5,790			5,79	0
	21	Travel, conferen	ces, and meeting	S								
	23	Other expenses	(attach schedule		92	36,366		33,167			1,23	5
Silve lac	24	Total operating	g and administra	ative expenses.								
3						289,835		120,753			62,02	5
•	25	Contributions, g		*****		748,050					748,05	0
	26	25		nents. Add lines 24 and		1,037,885		120,753			810,07	5
	27	Subtract line 26										
	a b		nue over expen : Income (if nega	ses and disbursements		1,976,339		2.005.15		_		1
	c		come(if negative					2,893,471		\dashv		4
_												118