

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT  
for the  
Eastern District of New York

UNITED STATES SECURITIES AND EXCHANGE  
COMMISSION,

\_\_\_\_\_  
*Plaintiff(s)*

v.

Platinum Management (NY) LLC; Platinum Credit  
Management, L.P.; Mark Nordlicht; David Levy;  
Daniel Small; Uri Landesman; Joseph Mann; Joseph  
SanFilippo; and Jeffrey Shulse

\_\_\_\_\_  
*Defendant(s)*

CV 16 -  
Civil Action No.

6848

MATSUMOTO, J.  
SCANLON, M.J.

SUMMONS IN A CIVIL ACTION

To: *(Defendant's name and address)* PLATINUM MANAGEMENT (NY) LLC  
250 WEST 55TH STREET  
NEW YORK, NEW YORK 10019


A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: DANIELLE SALLAH, ESQ., U.S. SECURITIES AND EXCHANGE COMMISSION, BROOKFIELD PLACE, 200 VESEY STREET, SUITE 400, NEW YORK, NEW YORK 10281

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

DOUGLAS C. PALMER  
CLERK OF COURT

Date: DEC 19 2016

  
\_\_\_\_\_  
*Signature of Clerk or Deputy Clerk*

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UNITED STATES DISTRICT COURT  
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UNITED STATES SECURITIES AND EXCHANGE  
COMMISSION,

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*Plaintiff(s)*

v.

Platinum Management (NY) LLC; Platinum Credit  
Management, L.P.; Mark Nordlicht; David Levy;  
Daniel Small; Uri Landesman; Joseph Mann; Joseph  
SanFilippo; and Jeffrey Shulse

\_\_\_\_\_  
*Defendant(s)*

**CV 16 - 6848**

Civil Action No.

**MATSUMOTO, J.  
SCANLON, M.J.**

**SUMMONS IN A CIVIL ACTION**

To: *(Defendant's name and address)* PLATINUM CREDIT MANAGEMENT, LP  
250 WEST 55TH STREET  
NEW YORK, NEW YORK 10019

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: DANIELLE SALLAH, ESQ., U.S. SECURITIES AND EXCHANGE COMMISSION, BROOKFIELD PLACE, 200 VESEY STREET, SUITE 400, NEW YORK, NEW YORK 10281

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UNITED STATES DISTRICT COURT  
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UNITED STATES SECURITIES AND EXCHANGE  
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*Plaintiff(s)*

v.

Platinum Management (NY) LLC; Platinum Credit  
Management, L.P.; Mark Nordlicht; David Levy;  
Daniel Small; Uri Landesman; Joseph Mann; Joseph  
SanFilippo; and Jeffrey Shulse

\_\_\_\_\_  
*Defendant(s)*

**CV 16 - 6848**

Civil Action No.

**MATSUMOTO, J.**

**SCANLON, M.J.**

**SUMMONS IN A CIVIL ACTION**

To: *(Defendant's name and address)* MARK NORDLICHT  
24 TRENOR DRIVE  
NEW ROCHELLE, NEW YORK 10804

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: DANIELLE SALLAH, ESQ., U.S. SECURITIES AND EXCHANGE COMMISSION, BROOKFIELD PLACE, 200 VESEY STREET, SUITE 400, NEW YORK, NEW YORK 10281

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UNITED STATES DISTRICT COURT

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UNITED STATES SECURITIES AND EXCHANGE  
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*Plaintiff(s)*

v.

Platinum Management (NY) LLC; Platinum Credit  
Management, L.P.; Mark Nordlicht; David Levy;  
Daniel Small; Uri Landesman; Joseph Mann; Joseph  
SanFilippo; and Jeffrey Shulse

\_\_\_\_\_  
*Defendant(s)*

**CV 16 - 6848**

Civil Action No.

**MATSUMOTO, J.  
SCANLON, M.J.**

SUMMONS IN A CIVIL ACTION

To: *(Defendant's name and address)* DAVID LEVY  
80 RIVERSIDE BLVD  
APT. 24C  
NEW YORK, NEW YORK 10069

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: DANIELLE SALLAH, ESQ., U.S. SECURITIES AND EXCHANGE COMMISSION, BROOKFIELD PLACE, 200 VESEY STREET, SUITE 400, NEW YORK, NEW YORK 10281

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UNITED STATES SECURITIES AND EXCHANGE  
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*Plaintiff(s)*

v.

Platinum Management (NY) LLC; Platinum Credit  
Management, L.P.; Mark Nordlicht; David Levy;  
Daniel Small; Uri Landesman; Joseph Mann; Joseph  
SanFilippo; and Jeffrey Shulse

\_\_\_\_\_  
*Defendant(s)*

CV 1 C - 6848

Civil Action No.

MATSUMOTO, J.  
SCANLON, M.J.

SUMMONS IN A CIVIL ACTION

To: *(Defendant's name and address)* DANIEL SMALL  
229 WEST 60TH STREET  
APT. 80  
NEW YORK, NEW YORK 10023

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: DANIELLE SALLAH, ESQ., U.S. SECURITIES AND EXCHANGE COMMISSION, BROOKFIELD PLACE, 200 VESEY STREET, SUITE 400, NEW YORK, NEW YORK 10281

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*Plaintiff(s)*

v.

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Management, L.P.; Mark Nordlicht; David Levy;  
Daniel Small; Uri Landesman; Joseph Mann; Joseph  
SanFilippo; and Jeffrey Shulse

\_\_\_\_\_  
*Defendant(s)*

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)  
) **CV 16 - 6848**  
)  
)  
)

Civil Action No.

**MATSUMOTO, J.**

**SCANLON, M.J.**

**SUMMONS IN A CIVIL ACTION**

To: *(Defendant's name and address)* URI LANDESMAN  
25 LOVELL ROAD  
NEW ROCHELLE, NEW YORK 10804

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: DANIELLE SALLAH, ESQ., U.S. SECURITIES AND EXCHANGE COMMISSION, BROOKFIELD PLACE, 200 VESEY STREET, SUITE 400, NEW YORK, NEW YORK 10281

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\_\_\_\_\_  
*Defendant(s)*

**CV 16 - 6848**

Civil Action No.

**MATSUMOTO, J.**

**SCANLON, M.J.**

**SUMMONS IN A CIVIL ACTION**

To: *(Defendant's name and address)* JOSEPH MANN  
1327 4TH STREET EAST  
BROOKLYN, NEW YORK 11230

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: DANIELLE SALLAH, ESQ., U.S. SECURITIES AND EXCHANGE COMMISSION, BROOKFIELD PLACE, 200 VESEY STREET, SUITE 400, NEW YORK, NEW YORK 10281

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Management, L.P.; Mark Nordlicht; David Levy;
Daniel Small; Uri Landesman; Joseph Mann; Joseph
SanFilippo; and Jeffrey Shulse

Defendant(s)

CV 16 - 6848
Civil Action No.

MATSUMOTO, J.
SCANLON, M.J.

SUMMONS IN A CIVIL ACTION

To: (Defendant's name and address) JOSEPH SANFILIPPO
3 FAWN VIEW COURT
FREEHOLD, NEW JERSEY 07728

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you
are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ.
P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of
the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney,
whose name and address are: DANIELLE SALLAH, ESQ., U.S. SECURITIES AND EXCHANGE COMMISSION,
BROOKFIELD PLACE, 200 VESEY STREET, SUITE 400, NEW YORK, NEW YORK
10281

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\_\_\_\_\_  
*Defendant(s)*

**CV 16 - 6848**  
Civil Action No.

**MATSUMOTO, J.  
SCANLON, M.J.**

SUMMONS IN A CIVIL ACTION

To: *(Defendant's name and address)* JEFFREY SHULSE  
10010 PINE FOREST ROAD  
HOUSTON, TEXAS 77042

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: DANIELLE SALLAH, ESQ., U.S. SECURITIES AND EXCHANGE COMMISSION, BROOKFIELD PLACE, 200 VESEY STREET, SUITE 400, NEW YORK, NEW YORK 10281

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