

UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF NEW YORK

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SECURITIES AND EXCHANGE COMMISSION, :

Plaintiff, :

-v- :

No. 16-cv-6848 (BMC)

PLATINUM MANAGEMENT (NY) LLC; :  
PLATINUM CREDIT MANAGEMENT, L.P.; :  
MARK NORDLICHT; :  
DAVID LEVY; :  
DANIEL SMALL; :  
URI LANDESMAN; :  
JOSEPH MANN; :  
JOSEPH SANFILIPPO; and :  
JEFFREY SHULSE, :

Defendants. :  
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**ORDER APPROVING FIRST INTERIM APPLICATION OF  
CONWAY MACKENZIE CAPITAL ADVISORS, LLC FOR ALLOWANCE OF  
COMPENSATION AND REIMBURSEMENT OF EXPENSES INCURRED DURING  
THE PERIOD OCTOBER 12, 2017 THROUGH DECEMBER 31, 2017**

THIS MATTER coming before the Court on the First Interim Application of Conway Mackenzie Capital Advisors, LLC, (“CM”) as consultant and investment banker to Melanie L. Cyganowski, the Court-appointed receiver (the “Receiver”), for Allowance of Compensation and Reimbursement of Expenses Incurred During the Period October 12, 2017 Through December 31, 2017 (the “First Interim Application”)<sup>1</sup> [Dkt. No. 437]; and the Court having considered the First Interim Application and exhibits and other documents filed in support of the First Interim Application; and the Court having found that the First Interim Application complies with applicable standards for awarding fees and expenses; and after due deliberation and for good and sufficient cause shown; it is hereby

<sup>1</sup> Capitalized terms utilized but not otherwise defined herein shall have the meaning ascribed to them in the First Interim Application.

**ORDERED** that the First Interim Application for the period covering October 12, 2017 through December 31, 2017 (the “First Application Period”) is granted; and it is further

**ORDERED** that the fees requested by CM for the First Application Period are allowed on an interim basis in the amount of \$251,530.00 (the “Allowed Fees”); and it is further

**ORDERED** that CM’s request for reimbursement of its out-of-pocket expenses for the First Application Period is allowed on an interim basis in the amount of \$2,940.07; and it is further

**ORDERED** that the Receiver is authorized to immediately pay from the Receivership assets, to the extent not already paid (i) the Allowed Fees, less twenty (20%) percent of the Allowed Fees, plus (ii) 100% of the allowed out-of-pocket expenses of Applicants.

SO ORDERED.

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U.S.D.J.

Dated: Brooklyn, New York  
January 17, 2019