Case 1:16-cv-06848-DLI-VMS Document 3 Filed 12/19/16 Page 1 of 9 PageID #: 146

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

Eastern District of New York

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION,

Plaintiff(s) v.

Platinum Management (NY) LLC; Platinum Credit Management, L.P.; Mark Nordlicht; David Levy; Daniel Small; Uri Landesman; Joseph Mann; Joseph SanFilippo; and Jeffrey Shulse

Defendant(s)

SUMMONS IN A CIVIL ACTION

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To: (Defendant's name and address) PLATINUM MANAGEMENT (NY) LLC 250 WEST 55TH STREET NEW YORK, NEW YORK 10019

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: DANIELLE SALLAH, ESQ., U.S. SECURITIES AND EXCHANGE COMMISSION, BROOKFIELD PLACE, 200 VESEY STREET, SUITE 400, NEW YORK, NEW YORK 10281

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

> DOUGLAS C. PALMER CLERK OF COURT

or Deputy Clerk

DEC 192016

Date:

6848

MATSUMOTO, J.

Case 1:16-cv-06848-DLI-VMS Document 3 Filed 12/19/16 Page 2 of 9 PageID #: 147

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

Eastern District of New York

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Civil Action No.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION,

Plaintiff(s) V.

Platinum Management (NY) LLC; Platinum Credit Management, L.P.; Mark Nordlicht; David Levy; Daniel Small; Uri Landesman; Joseph Mann; Joseph SanFilippo; and Jeffrey Shulse

Defendant(s)

SUMMONS IN A CIVIL ACTION

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To: (Defendant's name and address) PLATINUM CREDIT MANAGEMENT, LP 250 WEST 55TH STREET NEW YORK, NEW YORK 10019

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: DANIELLE SALLAH, ESQ., U.S. SECURITIES AND EXCHANGE COMMISSION, BROOKFIELD PLACE, 200 VESEY STREET, SUITE 400, NEW YORK, NEW YORK 10281

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

> DOUGLAS C. PALMER CLERK OF COURT

ity Clerk

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MATSUMOTO, J.

SCANLON, M.J.

DEC 192016

Date:

Case 1:16-cv-06848-DLI-VMS Document 3 Filed 12/19/16 Page 3 of 9 PageID #: 148

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

Eastern District of New York

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION,

Plaintiff(s) v.

Platinum Management (NY) LLC; Platinum Credit Management, L.P.; Mark Nordlicht; David Levy; Daniel Small; Uri Landesman; Joseph Mann; Joseph SanFilippo; and Jeffrey Shulse

Defendant(s)

SUMMONS IN A CIVIL ACTION

To: (Defendant's name and address) MARK NORDLICHT 24 TRENOR DRIVE NEW ROCHELLE, NEW YORK 10804

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: DANIELLE SALLAH, ESQ., U.S. SECURITIES AND EXCHANGE COMMISSION, BROOKFIELD PLACE, 200 VESEY STREET, SUITE 400, NEW YORK, NEW YORK 10281

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

> DOUGLAS C. PALMER CLERK OF COURT

Sgnature of Clerk or Deputy Clerk

DEC 1 9 2016

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Civil Action No.

MATSUMOTO, J.

Case 1:16-cv-06848-DLI-VMS Document 3 Filed 12/19/16 Page 4 of 9 PageID #: 149

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

Eastern District of New York

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION,

Plaintiff(s) V.

Platinum Management (NY) LLC; Platinum Credit Management, L.P.; Mark Nordlicht; David Levy; Daniel Small; Uri Landesman; Joseph Mann; Joseph SanFilippo; and Jeffrey Shulse

Defendant(s)

SUMMONS IN A CIVIL ACTION

To: (Defendant's name and address) DAVID LEVY 80 RIVERSIDE BLVD APT. 24C NEW YORK, NEW YORK 10069

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: DANIELLE SALLAH, ESQ., U.S. SECURITIES AND EXCHANGE COMMISSION, BROOKFIELD PLACE, 200 VESEY STREET, SUITE 400, NEW YORK, NEW YORK 10281

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

> DOUGLAS C. PALMER *clerk of court*

nature of Clerk or Deputy Clerk

DEC 1 9 2016

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Civil Action No.

MATSUMOTO, J. SCANLON, M.J. Case 1:16-cv-06848-DLI-VMS Document 3 Filed 12/19/16 Page 5 of 9 PageID #: 150

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

Eastern District of New York

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION,

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MATSUMOTO, J.

SCANLON, M.J.

Plaintiff(s) V.

Civil Action No.

Platinum Management (NY) LLC; Platinum Credit Management, L.P.; Mark Nordlicht; David Levy; Daniel Small; Uri Landesman; Joseph Mann; Joseph SanFilippo; and Jeffrey Shulse

Defendant(s)

SUMMONS IN A CIVIL ACTION

To: (Defendant's name and address) DANIEL SMALL 229 WEST 60TH STREET APT. 80 NEW YORK, NEW YORK 10023

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: DANIELLE SALLAH, ESQ., U.S. SECURITIES AND EXCHANGE COMMISSION, BROOKFIELD PLACE, 200 VESEY STREET, SUITE 400, NEW YORK, NEW YORK 10281

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

> DOUGLAS C. PALMER *CLERK OF COURT*

Deputy Clerk

DEC 192016

Date: _____

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AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

Eastern District of New York

UNITED STATES SECURITIES AND EXCHANGE COMMISSION,

Plaintiff(s) v.

Platinum Management (NY) LLC; Platinum Credit Management, L.P.; Mark Nordlicht; David Levy; Daniel Small; Uri Landesman; Joseph Mann; Joseph SanFilippo; and Jeffrey Shulse

Defendant(s)

SUMMONS IN A CIVIL ACTION

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To: (Defendant's name and address) URI LANDESMAN 25 LOVELL ROAD NEW ROCHELLE, NEW YORK 10804

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: DANIELLE SALLAH, ESQ., U.S. SECURITIES AND EXCHANGE COMMISSION, BROOKFIELD PLACE, 200 VESEY STREET, SUITE 400, NEW YORK, NEW YORK 10281

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

> DOUGLAS C. PALMER CLERK OF COURT

DEC 1 9 2016 Date: 16-

Civil Action No.

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MATSUMOTO, J.

Case 1:16-cv-06848-DLI-VMS Document 3 Filed 12/19/16 Page 7 of 9 PageID #: 152

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

Eastern District of New York

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION,

Plaintiff(s) V. **CV 1**6 -

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MATSUMOTO, J.

SCANLON, M.J.

Civil Action No.

Platinum Management (NY) LLC; Platinum Credit Management, L.P.; Mark Nordlicht; David Levy; Daniel Small; Uri Landesman; Joseph Mann; Joseph SanFilippo; and Jeffrey Shulse

Defendant(s)

SUMMONS IN A CIVIL ACTION

To: (Defendant's name and address) JOSEPH MANN 1327 4TH STREET EAST BROOKLYN, NEW YORK 11230

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: DANIELLE SALLAH, ESQ., U.S. SECURITIES AND EXCHANGE COMMISSION, BROOKFIELD PLACE, 200 VESEY STREET, SUITE 400, NEW YORK, NEW YORK 10281

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

> DOUGLAS C. PALMER *clerk of court*

DEC 1 9 2016

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AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

Eastern District of New York

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION,

> Plaintiff(s) ٧.

Platinum Management (NY) LLC; Platinum Credit Management, L.P.; Mark Nordlicht; David Levy; Daniel Small; Uri Landesman; Joseph Mann; Joseph SanFilippo; and Jeffrey Shulse

Defendant(s)

SUMMONS IN A CIVIL ACTION

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To: (Defendant's name and address) JOSEPH SANFILIPPO **3 FAWN VIEW COURT** FREEHOLD, NEW JERSEY 07728

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) - or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: DANIELLE SALLAH, ESQ., U.S. SECURITIES AND EXCHANGE COMMISSION, BROOKFIELD PLACE, 200 VESEY STREET, SUITE 400, NEW YORK, NEW YORK 10281

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

> DOUGLAS C. PALMER CLERK OF COURT

Signature of Clerkfor Deputy Clerk

DEC 19 2016 Date:

Civil Action No.

SCANLON, M.J.

MATSUMOTO, J.

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AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

Eastern District of New York

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION,

Plaintiff(s) V.

Platinum Management (NY) LLC; Platinum Credit Management, L.P.; Mark Nordlicht; David Levy; Daniel Small; Uri Landesman; Joseph Mann; Joseph SanFilippo; and Jeffrey Shulse

Defendant(s)

SUMMONS IN A CIVIL ACTION

To: (Defendant's name and address) JEFFREY SHULSE 10010 PINE FOREST ROAD HOUSTON, TEXAS 77042

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: DANIELLE SALLAH, ESQ., U.S. SECURITIES AND EXCHANGE COMMISSION, BROOKFIELD PLACE, 200 VESEY STREET, SUITE 400, NEW YORK, NEW YORK 10281

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

> DOUGLAS C. PALMER *clerk of court*

or Deputy Clerk

DEC 1 9 2016

CV1. Civil Action No.

MATSUMOTO, J.

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